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Divided Differences and Symmetric Functions.

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Sunto. – *L'operatore di differenze multivariate è utilizzato per stabilire varie formule di somme riguardanti le funzioni simmetriche, le quali hanno uno stretto legame con le identità del «termine costante».*

1. – Multivariate divided differences.

For a given complex function $f(y)$, its divided difference of the first order at two distinct complex numbers u and v is defined by

$$(1.1a) \quad \Delta[u, v] f(y) = \frac{f(u) - f(v)}{u - v}.$$

In general, for n distinct complex numbers $\{x_k\}_{k=1}^n$, we may repeat this process for $f(y)$ and define its $(n - 1)$ th divided difference by

$$(1.1b) \quad \Delta[x_1, x_2, \dots, x_n] f(y) = \Delta[x_{n-1}, x_n] \Delta[x_{n-2}, y]$$

$$(1.1c) \quad \dots \Delta[x_2, y] \Delta[x_1, y] f(y).$$

The result is independent of the order of $\{x_k\}_{k=1}^n$ and may be expressed as

$$(1.1d) \quad \Delta[x_1, x_2, \dots, x_n] f(y) = \sum_{i=1}^n \frac{f(x_i)}{\prod_{\substack{j=1 \\ j \neq i}}^n (x_i - x_j)}.$$

In order to compute the divided difference of monomials $\{y^m\}$, consider ex-

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pansion in partial fractions

$$\prod_{k=1}^n \frac{1}{1-x_k y} = \sum_{i=1}^n \frac{\lambda_i}{1-x_i y}, \quad \text{where} \quad \lambda_i = \prod_{\substack{j=1 \\ j \neq i}}^n \frac{x_i}{x_i - x_j}$$

which may be restated as

LEMMA 1. – Let $\{x_k\}_{k=1}^n$ be arbitrary distinct complex numbers, and y a complex variable. We then have

$$(1.2) \quad \sum_{i=1}^n \frac{1}{1-x_i y} \prod_{\substack{j=1 \\ j \neq i}}^n \frac{x_i}{x_i - x_j} = \prod_{k=1}^n \frac{1}{1-x_k y}.$$

Its power series expansions with respect to y at $y = 0$ and $y = \infty$, respectively, read as a summation theorem on symmetric functions.

PROPOSITION 2 (Biedenharn and Louck [1]). – Denote by $h_p(x)$ and $h_p(1/x)$ the p th complete symmetric functions, respectively, in $\{x_k\}_{k=1}^n$ and their reciprocals. We then have

$$(1.3) \quad \sum_{i=1}^n x_i^p \prod_{\substack{j=1 \\ j \neq i}}^n \frac{x_i}{x_i - x_j} = \begin{cases} \frac{(-1)^{n-1} h_{-p-n}(1/x)}{x_1 x_2 \dots x_n}, & (-\infty < p \leq -n) \\ 0, & (-n < p < 0) \\ h_p(x), & (0 \leq p < \infty). \end{cases}$$

This approach is much simpler than the original analytic proof due to Biedenharn and Louck [1].

2. – Constant term identities.

Denote by N_0 the set of nonnegative integers. For $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in N_0^n$, and n variables $\{x_k\}_{k=1}^n$, let $x^\lambda = x_1^{\lambda_1} x_2^{\lambda_2} \dots x_n^{\lambda_n}$ be a monomial and $[x^\lambda] f(x)$ the coefficient of x^λ in the Laurent series expansion of $f(x)$, which is a multivariate function of $\{x_k\}_{k=1}^n$.

The Dyson [4] conjecture on constant term identities may be stated as

$$(2.1) \quad [x^0] \prod_{1 \leq i \neq j \leq n} (1 - x_i/x_j)^{m_i} = \binom{m_1 + m_2 + \dots + m_n}{m_1, m_2, \dots, m_n}.$$

It was confirmed independently by Gunson and Wilson in the same volume of Journal of Mathematical Physics where Dyson announced his conjecture.

A shorter proof due to Good [5] is based on a special case of Lemma 1 when $y = 0$.

When $m_1 = m_2 = \dots = m_n = 1$, it may be specified as

$$(2.2) \quad [(x_1 x_2 \dots x_n)^{n-1}] \prod_{1 \leq i \neq j \leq n} (x_i - x_j) = n!.$$

Denote by S_n the set of permutations of $\{0, 1, \dots, n-1\}$. For each $\sigma \in S_n$, define a sign function $\varepsilon(\sigma) = \pm 1$ according to its parity. If $\theta = (n-1, n-2, \dots, 1, 0)$ and $\vartheta = (0, 1, \dots, n-2, n-1)$, then the product of the Vandermonde determinants yields

$$(2.3a) \quad [(x_1 x_2 \dots x_n)^{n-1}] \prod_{1 \leq i \neq j \leq n} (x_i - x_j),$$

$$(2.3b) \quad = [x^{\theta + \vartheta}] \sum_{\sigma \in S_n} \varepsilon(\sigma) x^{\sigma\theta} \sum_{\tau \in S_n} \varepsilon(\tau) x^{\tau\vartheta},$$

$$(2.3c) \quad = [x^{\theta + \vartheta}] \sum_{\sigma, \tau \in S_n} \varepsilon(\sigma\tau) x^{\sigma\theta + \tau\vartheta},$$

$$(2.3d) \quad = [x^{\theta + \vartheta}] \sum_{\sigma \in S_n} \varepsilon(\sigma^2) x^{\sigma(\theta + \vartheta)},$$

$$(2.3e) \quad = \sum_{\sigma \in S_n} 1 = n!,$$

which provides an alternate proof for (2.2).

3. - Symmetric functions.

For a fixed natural number n and the corresponding set $[n] = \{1, 2, \dots, n\}$ of the first n natural numbers, let $A = \{k_1 < k_2 < \dots < k_p\} \subset [n]$ be its subset with the cardinality $p = |A|$ and the complement A^c in $[n]$. Then the m -th elementary and complete symmetric functions in $\{x_k | k \in A\}$ and their reciprocals, will be denoted, respectively, by $e_m(x|A)$, $e_m(1/x|A)$, $h_m(x|A)$ and $h_m(1/x|A)$. When $A = [n]$, it will be omitted from the symmetric function notation. Similarly, for a multivariate complex function $f(y_1, y_2, \dots, y_p)$, the replacement $\{y_i = x_{k_i}\}_{i=1}^p$ will be denoted by $f(x|A)$ instead of $f(x_{k_1}, x_{k_2}, \dots, x_{k_p})$. The tensor product of divided differences of $f(y_1, y_2, \dots, y_p)$ with respect to the same point-set $\{x_k\}_{k=1}^p$ for each variable is given by

$$(3.1a) \quad \Delta^p[x_1, x_2, \dots, x_n] f(y_1, y_2, \dots, y_p),$$

$$(3.1b) \quad = \sum_{A \in [n]^p} f(x|A) \prod_{i \in A} \prod_{j \neq i} (x_i - x_j).$$

Comparing it with the symmetric summation defined by

$$(3.2a) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{\substack{i \in A \\ j \notin A}} \frac{x_i^{n-m}}{\prod (x_i - x_j)} = \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{\substack{i \in A \\ j \neq i}} \frac{x_i^{n-m}}{\prod (x_i - x_j)} \prod_{i \neq j \in A} (x_i - x_j),$$

$$(3.2b) \quad = \{1/p!\} \sum_{A \in [n]^p} \prod_{i \in A} \frac{x_i^{n-m}}{\prod_{j \neq i} (x_i - x_j)} \prod_{i \neq j \in A} (x_i - x_j),$$

we find that the last sum is equal to the multivariate divided difference

$$\Delta^p[x_1, x_2, \dots, x_n] \left\{ (y_1 y_2 \dots y_p)^{n-m} \prod_{i \neq j \in A} (y_i - y_j) \right\},$$

where $(y_1 y_2 \dots y_p)^{n-m} \prod_{i \neq j \in A} (y_i - y_j)$ is a symmetric polynomial of degree $p(p-1+n-m)$ in $\{y_k\}_{k=1}^p$, whose multivariate divided difference at $\{x_1, x_2, \dots, x_n\}$ vanishes for $p < m \leq n$. When $m = p$, it reduces to the coefficient of the monomial $(y_1 y_2 \dots y_p)^{n-1}$ in the Laurent expansion of $(y_1 y_2 \dots y_p)^{n-p} \prod_{i \neq j \in A} (y_i - y_j)$, i.e., the constant term of $\prod_{i \neq j \in A} (1 - y_i/y_j)$. From (2.2), we recover the following summation formulae

PROPOSITION 3 (Gross and Richards [6, eqs. (3.3-6)]).

$$(3.3) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{\substack{i \in A \\ j \notin A}} \frac{x_i^{n-m}}{\prod (x_i - x_j)} = \begin{cases} 0, & p < m \leq n, \\ 1, & m = p. \end{cases}$$

THEOREM 4. - *Let $\{m_1, m_2, \dots, m_p\}$ be nonnegative integers. There hold*

$$(3.4a) \quad \prod_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} \prod_{k=1}^p h_{m_k}(x|A) = \prod_{k=1}^p h_{m_k}(x),$$

$$(3.4b) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} \prod_{k=1}^p e_{m_k}(x|A^c) = \delta \left(0, \sum_{k=1}^p m_k \right),$$

where $\delta(\cdot, \cdot)$ is the Kronecker delta.

In particular, when $m_1 = m$ and $m_2 = m_3 = \dots = m_p = 0$, this theorem reduces to

COROLLARY 5. – *Let m be a nonnegative integer. There hold*

$$(3.5a) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} h_m(x|A) = h_m(x),$$

$$(3.5b) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} e_m(x|A^c) = \delta(0, m).$$

The first formula in this corollary is due to Gross and Richards [6, eq. (3.13)]. When $m = 0$, both identities reduce to the case $m = p$ of (3.3).

Recalling the generating function for complete symmetric functions

$$\prod_{k \in A} \frac{1}{1 - x_k y} = \sum_{m=0}^{\infty} h_m(x|A) y^m,$$

$$\prod_{k=1}^n \frac{1}{1 - x_k y} = \sum_{m=0}^{\infty} h_m(x) y^m,$$

we may restate (3.4a) and (3.4b) as

THEOREM 6.

$$(3.6a) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} \prod_{k \in A} \prod_{l=1}^p \frac{1}{1 - x_k y_l} = \prod_{k=1}^n \prod_{l=1}^p \frac{1}{1 - x_k y_l},$$

$$(3.6b) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} \prod_{k \notin A} \prod_{l=1}^p (1 + x_k y_l) = 1.$$

In fact, if we multiply both sides of (3.6a) by $\prod_{k=1}^n \prod_{l=1}^p (1 - x_k y_l)$ and replace $\{y_l\}$ by their opposites, we get (3.6b). Then (3.4b) follows simply from (3.6b) on account of the generating functions for elementary symmetric functions

$$\sum_{m \geq 0} e_m(x|A) y^m = \prod_{k \in A} (1 + x_k y),$$

$$\sum_{m \geq 0} e_m(x) y^m = \prod_{k=1}^n (1 + x_k y).$$

Therefore it suffices to show (3.4a) in order to prove the theorems.

PROOF OF (3.4a). – We will do it by the induction principle.

When $p = 1$, it reduces to (1.3) due to Biedenharn and Louck [1]. Suppose

the statement is true for p . Then for $p + 1$, let

$$H(x|A) = h_{m_1}(x|A) h_{m_2}(x|A) \dots h_{m_p}(x|A).$$

From (1.3) we can deduce

$$(3.7a) \quad \Omega := \sum_{|A|=p+1} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} h_{m_0}(x|A) H(x|A),$$

$$(3.7b) \quad = \sum_{|A|=p+1} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} H(x|A) \sum_{k \in A} \frac{x_k^{p+m_0}}{\prod_{l \neq k \in A} (x_k - x_l)}.$$

Interchanging the summation order and noticing that for a fixed k

$$\frac{x_k^{p+m_0}}{\prod_{l \neq k \in A} (x_k - x_l)} \prod_{j \notin A} \frac{x_k}{x_k - x_j} = \frac{x_k^{n-1+m_0}}{\prod_{l \neq k} (x_k - x_l)},$$

we have

$$(3.7c) \quad \Omega = \sum_{k=1}^n \frac{x_k^{n-1+m_0}}{\prod_{l \neq k} (x_k - x_l)} \sum_{\substack{|A|=p \\ A \subset [n] \setminus \{k\}}} \prod_{\substack{i \in A \\ j \notin A \cup \{k\}}} \frac{x_i^{n-1-p}}{\prod_{j \notin A \cup \{k\}} (x_i - x_j)} H(x|A \cup \{k\}).$$

Noting that

$$h_m(x|A \cup \{k\}) = \sum_{\nu=0}^m x_k^{m-\nu} h_\nu(x|A),$$

we may combine its tensor product

$$H(x|A \cup \{k\}) = \prod_{i=1}^p \sum_{\nu_i=0}^{m_i} x_k^{m_i-\nu_i} h_{\nu_i}(x|A)$$

with the induction hypothesis which asserts that for every p -ple nonnegative integers $\{\nu_1, \nu_2, \dots, \nu_p\}$, there holds

$$\sum_{\substack{|A|=p \\ A \subset [n] \setminus \{k\}}} \prod_{i \in A} \frac{x_i^{n-1-p}}{\prod_{j \notin A \cup \{k\}} (x_i - x_j)} \prod_{i=1}^p h_{\nu_i}(x|A) = \prod_{i=1}^p h_{\nu_i}(x|[n] \setminus \{k\})$$

and conclude that

$$(3.7d) \quad \sum_{\substack{|A|=p \\ A \subset [n] \setminus \{k\}}} \prod_{i \in A} \frac{x_i^{n-1-p}}{\prod_{j \notin A \cup \{k\}} (x_i - x_j)} H(x|A \cup \{k\}) = H(x).$$

In view of (1.3), we arrive at

$$(3.7e) \quad \Omega = \sum_{k=1}^n \frac{x_k^{n-1+m_0}}{\prod_{j \neq k} (x_k - x_j)} H(x) = h_{m_0}(x) H(x).$$

This complete the proof of the Theorems.

Observe that [7, chap. I] every elementary symmetric function $e_m(x|A)$ can be expressed as a multivariate polynomial of degree m in terms of complete symmetric functions $\{h_k(x|A)\}_k$, and viceversa. We can deduce from (3.4a) and (3.4b) the following

PROPOSITION 7. – For p nonnegative integers $\{m_k\}_{k=1}^p$ with $0 \leq \sum_{k=1}^p m_k \leq p$, there hold

$$(3.8a) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} \prod_{k=1}^p e_{m_k}(x|A) = \prod_{k=1}^p e_{m_k}(x),$$

$$(3.8b) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} \prod_{k=1}^p h_{m_k}(x|A^c) = \delta\left(0, \sum_{k=1}^p m_k\right).$$

In particular, we have the dual formulas of (3.5a) and (3.5b).

COROLLARY 8. – Let m be a nonnegative integer with $0 \leq m \leq p$, we have

$$(3.9a) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} e_m(x|A) = e_m(x),$$

$$(3.9b) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} h_m(x|A^c) = \delta(0, m).$$

The last formula is also due to Gross and Richards [6, eq. (3.12)] and may be extended further when m is not limited to $0 \leq m \leq p$.

PROPOSITION 9. – For each nonnegative integer m , we have

$$(3.10a) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} h_m(x|A^c) = \sum_{k=0}^p (-1)^k e_k(x) h_{m-k}(x)$$

which specifies, for $m = p + 1$, to

$$(3.10b) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} h_{p+1}(x|A^c) = (-1)^p e_{p+1}(x).$$

The corresponding generating functions read as

$$(3.11a) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} \prod_{k \in A^c} \frac{1}{(1 - x_k y)} = \frac{\sum_{k=0}^p (-1)^k e_k(x) y^k}{\prod_{l=1}^n (1 - x_l y)},$$

$$(3.11b) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} \prod_{k \in A^c} (1 - x_k y) = \sum_{k=0}^p (-1)^k e_k(x) y^k.$$

In fact, similar to the proof of (3.4a), we have

$$(3.12a) \quad \mathcal{E} = \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} h_m(x|A^c),$$

$$(3.12b) \quad = \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} \sum_{k \in A^c} \frac{x_k^{m+n-p-1}}{\prod_{l \neq k \in A} (x_k - x_l)},$$

$$(3.12c) \quad = \sum_{k=1}^n (-1)^p \frac{x_k^{m+n-p-1}}{\prod_{l \neq k} (x_k - x_l)} \sum_{\substack{|A|=p \\ A \subset [n] \setminus \{k\}}} \prod_{\substack{i \in A \\ j \notin A \cup \{k\}}} \frac{x_i^{n-p}}{(x_i - x_j)}.$$

According to (3.9a), the inner sum of the last equation is equal to

$$e_p(x|[n] \setminus \{k\}) = \sum_{\iota=0}^p (-1)^{p-\iota} x_k^{p-\iota} e_{\iota}(x)$$

which results from the generating functions

$$\begin{aligned} e_m(x|[n] \setminus \{k\}) &= [y^m] \left\{ \frac{\prod_{\iota=1}^n (1 + x_{\iota} y)}{1 + x_k y} \right\} \\ &= [y^m] \sum_{\nu=0}^{\infty} (-1)^{\nu} x_k^{\nu} y^{\nu} \sum_{\iota=0}^n e_{\iota}(x) y^{\iota} \\ &= \sum_{\nu=0}^m (-1)^{\nu} x_k^{\nu} e_{m-\nu}(x). \end{aligned}$$

Substituting this into (3.12c) for Ξ and using (3.9b), we have

$$(3.12d) \quad \Xi = \sum_{k=1}^n (-1)^p \frac{x_k^{m+n-p-1}}{\prod_{l \neq k} (x_k - x_l)} \sum_{\iota=0}^p (-1)^{p-\iota} x_k^{p-\iota} e_{\iota}(x),$$

$$(3.12e) \quad = \sum_{\iota=0}^p (-1)^{\iota} e_{\iota}(x) \sum_{k=1}^n \frac{x_k^{m+n-\iota-1}}{\prod_{l \neq k} (x_k - x_l)},$$

$$(3.12f) \quad = \sum_{\iota=0}^p (-1)^{\iota} e_{\iota}(x) h_{m-\iota}(x)$$

which completes the proof of (3.10a).

Recall that [7, chap. I] every power-sum symmetric function $q_m(x|A)$ in $\{x_k\}_{k \in A}$ can be expressed as a multivariate polynomial of degree m in terms of complete symmetric functions $\{h_k(x|A)\}_k$. For each term of the expression, apply (3.4a) and (3.8b). Then their respective sums give rise to the following formulas consequently.

PROPOSITION 10. – *For a nonnegative integer m with $0 \leq m \leq p$, there hold*

$$(3.13a) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} q_m(x|A) = q_m(x),$$

$$(3.13b) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} q_m(x|A^c) = \delta(0, m).$$

For a partition defined by $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_p)$, denote by λ' its conjugate partition. Then the Schur functions [7, chap. I] read as

$$(3.14a) \quad S_{\lambda}(x|A) = \det [h_{j-i+\lambda_i}(x|A)]_{1 \leq i, j \leq p},$$

$$(3.14b) \quad S_{\lambda'}(x|A^c) = \det [e_{j-i+\lambda_i}(x|A^c)]_{1 \leq i, j \leq p}$$

from which (3.4a) and (3.4b) may be reformulated as the following identities on the Schur functions.

PROPOSITION 11. - *Let $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_p)$ be a partition. There hold*

$$(3.15a) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} S_\lambda(x|A) = S_\lambda(x),$$

$$(3.15b) \quad \sum_{\substack{A \subset [n] \\ |A|=p}} \prod_{i \in A, j \notin A} \frac{x_i}{x_i - x_j} S_{\lambda'}(x|A^c) = \delta\left(0, \sum_{k=1}^p \lambda_k\right).$$

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