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## Coactions of Hopf Algebras on Algebras in Positive Characteristic

MARILENA CRUPI - GAETANA RESTUCCIA

**Abstract.** – Let  $K$  be a field of positive characteristic  $p > 0$ . We study the coactions of the Hopf algebra of the multiplicative group  $H_m$  with underlying algebra  $H = K[X_1, \dots, X_n]/(X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}})$ ,  $n \geq 1$ ,  $s_1 \geq \dots \geq s_n \geq 1$  on a  $K$ -algebra  $A$ .

We give the rule for the set of additive endomorphism of  $A$ , that define a coaction of  $H_m$  on  $A$  commutative.

For  $s_1 = \dots = s_n = 1$ , we obtain the explicit expression of such coactions in terms of  $n$  derivations of  $A$ .

### 1. – Introduction.

In recent papers ([7], [8]) finite-dimensional commutative Hopf algebras  $H$  with underlying algebra

$$(1.1) \quad H = K[X_1, \dots, X_n]/(X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}), \quad n \geq 1, s_1 \geq \dots \geq s_n \geq 1,$$

where  $K[X_1, \dots, X_n]$  denotes the polynomial ring in  $n$  indeterminates  $X_1, \dots, X_n$  with coefficients in a field  $K$  of characteristic  $p > 0$ , have been studied.

Such assumption on  $H$  comes from groups schemes theory, since by the structure theorem of infinitesimal, finite and connected group schemes ([12], 14.4), any finite-dimensional, commutative and local Hopf algebra over a perfect field has this form.

Thanks to this remark, we have concrete examples of Hopf algebras  $H$ . After, we consider a coaction of such Hopf algebras  $H$  on a  $K$ -algebra that can be commutative or not, finitely generated or not.

Thus, let  $\delta : A \rightarrow A \otimes H$  be a coaction of  $H$  over a  $K$ -algebra  $A$ . For every  $a \in A$ , we can write

$$(1.2) \quad \delta(a) = a \otimes 1 + \sum_{1 \leq i \leq n} D_i(a) \otimes x_i + \sum_{a \in A, |a| \geq 2} D_a(a) \otimes x^a$$

where the  $x_i$  are the residue classes of the  $X_i$  in  $H$ ,  $A$  is the set of all multi-indices  $a = (a_1, \dots, a_n)$ ,  $0 \leq a_i < p^{s_i}$ ,  $1 \leq i \leq n$ , and where  $D_i : A \rightarrow A$ ,  $1 \leq i \leq n$ , are

derivations of  $A$  defined by

$$D_i = D_{(0,\dots,0,1,0,\dots,0)},$$

with 1 in the  $i$ -th position.

In this note we focus our attention on the Hopf algebra with underlying algebra (1.1), with comultiplication given by

$$\Delta(x_i) = x_i \otimes 1 + 1 \otimes x_i + x_i \otimes x_i, \quad x_i = X_i + (X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}),$$

for  $1 \leq i \leq n$ , counity defined by  $\varepsilon(x_i) = 0$  and antipode  $S$  given by  $S(x_i) = \sum_{j=1}^{\infty} (-1)^j x_i^j$ .

Such algebra will be denoted by  $H_m$  and we call it the Hopf algebra of the multiplicative group.

Our aim is to study the coactions of  $H_m$  on a  $K$ -algebra  $A$ , that is the behaviour of the additive morphisms  $D_a : A \rightarrow A$  that appear in the expression of the coactions (1.2), for  $a \in \mathbb{A}$ .

More precisely, the plane of the paper is the following.

In Section 2 we recall some definitions and results about Hopf algebras.

In Section 3 we introduce the Hopf algebra of the multiplicative algebra group  $H_m$ . Then we study the coactions of  $H_m$  on a  $K$ -algebra  $A$ , giving the explicit expression of the additive morphisms  $D_a : A \rightarrow A$  which appear in the coaction  $\delta$ , for  $a \in \mathbb{A}$  such that  $|a| \geq 1$  (Proposition 3.2).

Such coactions can be expressed only in terms of derivations  $D_1, \dots, D_n$  of  $A$  such that  $[D_i, D_j] = 0$ ,  $D_i^p = D_i$ ,  $1 \leq i, j \leq n$ ,  $s_1 = s_2 = \dots = s_n = 1$ . (Theorem 3.3).

Section 4 contains some applications to the case  $A$  commutative local  $K$ -algebra. If  $\delta$  is a coaction of  $H_m$  on  $A$  and  $A^{coH_m} = \{a \in A : \delta(a) = a \otimes 1\}$  is the subalgebra of  $H_m$ -coinvariant elements, we study the extension  $A^{coH_m} \subset A$ . We examine some theoretical properties of such extension such as the flatness and the property to be an  $H_m$ -Galois extension (Proposition 4.2).

Moreover, if  $(A, m)$  contains the field  $K$  of characteristic  $p > 0$ ,  $H$  a finite-dimensional commutative Hopf algebra over the field  $K$  satisfying (1.1) and  $\delta$  a coaction of  $H$  on  $A$ , we prove the existence of a  $p$ -basis of  $A^{coH}$  over  $K$  (Proposition 4.3).

## 2. – Preliminaries on Hopf algebras.

Let  $K$  be a field of characteristic  $p > 0$ . Let  $H$  be a Hopf algebra over the field  $K$  with comultiplication  $\Delta : H \rightarrow H \otimes H$ , counit  $\varepsilon : H \rightarrow k$ , multiplication  $\mu : H \otimes H \rightarrow H$  and antipode  $S : H \rightarrow H$ .

Let  $A$  be an algebra and let

$$\delta : A \rightarrow A \otimes H$$

be an algebra map and a right  $H$ -comodule structure on  $A$ .  $(A, \delta)$  is called a right  $H$ -comodule algebra, and

$$A^{coH} = \{a \in A : \delta(a) = a \otimes 1\},$$

is called the subalgebra of  $H$ -coinvariant elements.

Let  $K$  be a field of characteristic  $p > 0$ . Let  $s_1, \dots, s_n$  be positive integers and let  $\mathbb{A}$  be the set of all multi-indices

$$a = (a_1, \dots, a_n), \quad 0 \leq a_i < p^{s_i}, \quad 1 \leq i \leq n.$$

For  $a = (a_1, \dots, a_n), \beta = (\beta_1, \dots, \beta_n) \in \mathbb{N}^n$ , we define

$$a + \beta = (a_1 + \beta_1, \dots, a_n + \beta_n)$$

and

$$\binom{a}{\beta} = \binom{a_1}{\beta_1} \cdots \binom{a_n}{\beta_n}.$$

Consider the Hopf algebras which “live” on the coalgebras

$$C_\sigma = \left( \sum_{a \in \mathbb{A}} K e_a, \Delta, \varepsilon \right), \quad \sigma = (s_1, \dots, s_n) \in \mathbb{A},$$

where

$$\Delta(e_a) = \sum_{\beta+\gamma=a, \beta, \gamma \in \mathbb{A}} e_\beta \otimes e_\gamma, \quad \varepsilon(e_a) = \delta_{a,0},$$

with  $\delta_{a,\beta} = 1$  if  $a = \beta$  and  $\delta_{a,\beta} = 0$  if  $a \neq \beta$ .

EXAMPLE 2.1. – Consider

$$H_\sigma = (C_\sigma, \mu : C_\sigma \otimes C_\sigma \rightarrow C_\sigma, S : C_\sigma \rightarrow C_\sigma, \eta : k \rightarrow C_\sigma),$$

$\sigma = (s_1, \dots, s_n) \in \mathbb{N}^n$ , with

$$\mu(e_\alpha \otimes e_\beta) = \begin{cases} \binom{\alpha + \beta}{\alpha} e_{\alpha + \beta}, & \text{if } \alpha_i + \beta_i < p^{s_i} \\ 0, & \text{otherwise;} \end{cases}$$

antipode  $S$  determined by the equalities:

$$\sum_{\alpha + \beta = \gamma} e_\alpha S(e_\beta) = \delta_{\gamma,0}$$

and

$$\eta(t) = te_0, \quad 0 \in \mathbb{N}^n, \quad \text{for every } t \in \mathbb{N}.$$

If an Hopf algebra “lives” on the coalgebra  $C_\sigma$ , then the dual Hopf algebra  $H^*$  “lives” on the algebra  $H_\sigma = K[X_1, \dots, X_n]/(X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}})$  (i.e.  $H^*$  as an algebra is equal to  $H_\sigma$ ), because  $C_\sigma^* = H_\sigma$ , where  $K[X_1, \dots, X_n]$  is the polynomial ring in  $n$  indeterminates  $X_1, \dots, X_n$ .

Moreover there is a one to one correspondance between the actions  $D$  of  $H^*$  on  $A$  that preserves the ring invariants  $A^H = A^D = \{a \in A : D(a) = a \otimes 1\}$ . Then it turns out that is better to deal with coactions of  $H^*$  than with actions of  $H$ .

The importance of such algebras is underlined by a result due to Oort and Mumford ([6], Cor. 5.2) that assures that all cocommutative Hopf algebras can be described by the above algebras  $H_\sigma$ , by using the formal groups.

Now assume that  $H$  is a commutative Hopf algebra with underlying algebra

$$(2.1) \quad H = K[X_1, \dots, X_n]/(X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}), \quad n \geq 1, s_1 \geq \dots \geq s_n \geq 1.$$

Let  $\mathbb{A}$  be the set of all multi-indices  $a = (a_1, \dots, a_n)$ , such that  $0 \leq a_i < p^{s_i}$  and  $1 \leq i \leq n$ .

For all  $i$  let  $x_i$  be the residue class of  $X_i$  in  $H$ . Then the elements

$$x^a = x_1^{a_1} \cdots x_n^{a_n}, \quad a \in \mathbb{A},$$

are a  $K$ -basis of  $H$ .

Note that  $\varepsilon(x_i) = 0$  for all  $i$ , where  $\varepsilon : H \rightarrow K$  is the counit of  $H$ , since  $H$  is local with maximal ideal  $(x_1, \dots, x_n)$ .

Let  $A$  be an algebra, and  $\delta : A \rightarrow A \otimes H$ , a right  $H$ -comodule algebra structure on  $A$ .

We will always write

$$\delta(a) = \sum_{a \in \mathbb{A}} D_a(a) \otimes x^a, \quad \forall a \in A.$$

Then for all  $a \in \mathbb{A}$  and  $a, b \in A$ ,

$$D_a(ab) = \sum_{\beta+\gamma=a, \beta, \gamma \in \mathbb{A}} D_\beta(a)D_\gamma(b),$$

and  $D_{(0, \dots, 0)} = id$ .

For all  $i$ , let  $\delta_i = (\delta_{ij})_{1 \leq j \leq n} \in \mathbb{A}$ , where  $\delta_{ij} = 1$ , if  $j = i$ , and  $\delta_{ij} = 0$  otherwise.

We define

$$D_i = D_{\delta_i} = D_{(0, \dots, 0, 1, 0, \dots, 0)},$$

with 1 in the  $i$ -th position for  $i = 1, \dots, n$ .

Note that the linear maps  $D_i : A \rightarrow A$  are derivations of the algebra  $A$ , and for all  $a \in A$ , we have

$$(2.2) \quad \delta(a) = a \otimes 1 + \sum_{1 \leq i \leq n} D_i(a) \otimes x_i + \sum_{a \in \Lambda, |a| \geq 2} D_a(a) \otimes x^a,$$

where  $|a| = |(a_1, \dots, a_n)| = a_1 + \dots + a_n$ .

In particular

$$[D_i, D_j] \in \sum_{1 \leq t \leq n} KD_t, \quad D_i^p \in \sum_{1 \leq t \leq n} KD_t, \quad 1 \leq i, j \leq n$$

and in the case when  $s_1 = s_2 = \dots = s_n = 1$  ([7])

$$A^{coH} = A^{\{D_1, \dots, D_n\}} = \{a \in A : D_i(a) = 0, 1 \leq i \leq n\}.$$

EXAMPLE 2.2. – The commutative Hopf algebra  $H_a$  with underlying algebra

$$H_a = K[X_1, \dots, X_n]/(X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}), \quad n \geq 1, s_1 \geq \dots \geq s_n \geq 1$$

and comultiplication given by

$$\Delta(x_i) = x_i \otimes 1 + 1 \otimes x_i, \quad x_i = X_i + (X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}), \quad 1 \leq i \leq n,$$

is called the Hopf algebra of the additive group, where  $n$  and  $s_1, \dots, s_n$  are fixed.

If  $s_1 = \dots = s_n = 1$  ([7]),  $D_a = \frac{D_1^{a_1}}{a_1!} \dots \frac{D_n^{a_n}}{a_n!}$ ,  $a = (a_1, \dots, a_n)$ ,  $0 \leq a_i < p$ ,  $1 \leq i \leq n$ , where  $D_1, \dots, D_n \in Der(A, A)$  with  $[D_i, D_j] = 0, D_i^p = 0, 1 \leq i, j \leq n$ .

### 3. – The Hopf algebra of the multiplicative group.

Let

$$H_m = K[X_1, \dots, X_n]/(X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}), \quad n \geq 1, s_1 \geq \dots \geq s_n \geq 1,$$

be the  $K$ -algebra with comultiplication given by

$$\Delta(x_i) = x_i \otimes 1 + 1 \otimes x_i + x_i \otimes x_i, \quad x_i = X_i + (X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}), \quad 1 \leq i \leq n,$$

county defined by  $\varepsilon(x_i) = 0$  and antipode  $S$  given by  $S(x_i) = \sum_{j=1}^{\infty} (-1)^j x_i^j$ .

$H_m$  will be called *the Hopf algebra of the multiplicative group*.

LEMMA 3.1. – *Let*

$$H_m = K[X_1, \dots, X_n]/(X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}), \quad n \geq 1$$

be the Hopf algebra of the multiplicative group with  $s_1 \geq s_2 \geq \dots \geq s_n = 1$ .

Then

$$\Delta(x^\gamma) = \sum_{a_1=0}^{\gamma_1} \dots \sum_{a_n=0}^{\gamma_n} \sum_{\beta_1=\gamma_1-a_1}^{\gamma_1} \dots \sum_{\beta_n=\gamma_n-a_n}^{\gamma_n} \binom{\gamma}{a} \binom{a}{a+\beta-\gamma} x^a \otimes x^\beta,$$

for every  $\gamma = (\gamma_1, \dots, \gamma_n) \in \mathbb{A}$  such that  $|\gamma| \geq 1$ .

PROOF. – Since the comultiplication  $\Delta$  is a  $K$ -algebra morphism, if  $\gamma = (\gamma_1, \dots, \gamma_n) \in \mathbb{A}$ , then

$$(3.1) \quad \Delta(x^\gamma) = \Delta(x_1^{\gamma_1} \dots x_n^{\gamma_n}) = \Delta(x_1)^{\gamma_1} \dots \Delta(x_n)^{\gamma_n}.$$

For every  $i = 1, \dots, n$ , we have:

$$\begin{aligned} \Delta(x_i)^{\gamma_i} &= (1 \otimes x_i + x_i \otimes 1 + x_i \otimes x_i)^{\gamma_i} = [(1 \otimes x_i + x_i \otimes 1) + x_i \otimes x_i]^{\gamma_i} \\ &= \sum_{k=0}^{\gamma_i} \binom{\gamma_i}{k} (1 \otimes x_i + x_i \otimes 1)^k (x_i \otimes x_i)^{\gamma_i-k} \\ &= \sum_{k=0}^{\gamma_i} \sum_{h=0}^k \binom{\gamma_i}{k} \binom{k}{h} (1 \otimes x_i)^h (x_i \otimes 1)^{k-h} (x_i \otimes x_i)^{\gamma_i-k} \\ &= \sum_{k=0}^{\gamma_i} \sum_{h=0}^k \binom{\gamma_i}{k} \binom{k}{h} x_i^{\gamma_i-k} \otimes x_i^{\gamma_i+h-k}. \end{aligned}$$

Set  $\gamma_i - h = a_i$  and  $\gamma_i + h - k = \beta_i$ , then

$$\Delta(x_i)^{\gamma_i} = \sum_{a_i=0}^{\gamma_i} \sum_{\beta_i=\gamma_i-a_i}^{\gamma_i} \binom{\gamma_i}{a_i} \binom{a_i}{a_i+\beta_i-\gamma_i} x_i^{a_i} \otimes x_i^{\beta_i}.$$

Hence, from (3.1),

$$\Delta(x^\gamma) = \sum_{a_1=0}^{\gamma_1} \dots \sum_{a_n=0}^{\gamma_n} \sum_{\beta_1=\gamma_1-a_1}^{\gamma_1} \dots \sum_{\beta_n=\gamma_n-a_n}^{\gamma_n} \binom{\gamma}{a} \binom{a}{a+\beta-\gamma} x^a \otimes x^\beta,$$

for every  $\gamma \in \mathbb{A}$  such that  $|\gamma| \geq 1$ . □

PROPOSITION 3.2. – Let

$$H_m = K[X_1, \dots, X_n]/(X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}), \quad n \geq 1$$

be the Hopf algebra of the multiplicative group with  $s_1 \geq s_2 \geq \dots \geq s_n = 1$  and let  $A$  be a commutative  $K$ -algebra. Let  $\delta : A \rightarrow A \otimes H_m$  be a coaction of  $H_m$  on  $A$  satisfying (2.2).



Then

$$D_\alpha D_\beta = \sum_{\gamma_1=\max\{\alpha_1, \beta_1\}}^{\alpha_1+\beta_1} \cdots \sum_{\gamma_n=\max\{\alpha_n, \beta_n\}}^{\alpha_n+\beta_n} \binom{\gamma}{\alpha} \binom{\alpha}{\alpha+\beta-\gamma} D_\gamma.$$

PROOF. – From the definition of a coaction

$$(1 \otimes \Delta)\delta = (\delta \otimes 1)\delta.$$

Since, for every  $a \in A$ ,

$$\delta(a) = \sum_{a \in A} D_a(a) \otimes x^a,$$

it follows that

$$\begin{aligned} (\delta \otimes 1)\delta(a) &= \sum_a \delta(D_a(a)) \otimes x^a \\ (3.2) \qquad &= \sum_a \left[ \sum_\beta D_\beta D_a(a) \otimes x^\beta \right] \otimes x^a \\ &= \sum_a \sum_\beta D_\beta D_a(a) \otimes x^\beta \otimes x^a. \end{aligned}$$

Moreover, for every  $a \in A$ , from Lemma 3.1,

$$\begin{aligned} (1 \otimes \Delta)\delta(a) &= \sum_a D_a(a) \otimes \Delta(x^a) \\ (3.3) \qquad &= \sum_a D_a(a) \otimes \left[ \sum_{\gamma=0}^a \sum_{\tau=a-\gamma}^\gamma \binom{a}{\gamma} \binom{\gamma}{\gamma+\tau-a} x^\gamma \otimes x^\tau \right] \\ &= \sum_a \sum_\gamma \left[ \sum_{\tau=a-\gamma}^\gamma \binom{a}{\gamma} \binom{\gamma}{\gamma+\tau-a} D_a(a) \right] \otimes x^\gamma \otimes x^\tau \\ &= \sum_\tau \sum_\gamma \left[ \sum_{\tau=a-\gamma}^\gamma \binom{a}{\gamma} \binom{\gamma}{\gamma+\tau-a} D_a(a) \right] \otimes x^\gamma \otimes x^\tau. \end{aligned}$$

Comparing (3.2) and (3.3), we have

$$\begin{aligned} D_\gamma D_\tau(a) &= \sum_{\tau=a-\gamma}^\gamma \binom{a}{\gamma} \binom{\gamma}{\gamma+\tau-a} D_a(a) \\ &= \sum_{\tau_1=\alpha_1-\gamma_1}^{\gamma_1} \cdots \sum_{\tau_n=\alpha_n-\gamma_n}^{\gamma_n} \binom{a}{\gamma} \binom{\gamma}{\gamma+\tau-a} D_a(a) \\ &= \sum_{\alpha_1=\max\{\gamma_1, \tau_1\}}^{\tau_1+\gamma_1} \cdots \sum_{\alpha_n=\max\{\gamma_n, \tau_n\}}^{\tau_n+\gamma_n} \binom{a}{\gamma} \binom{\gamma}{\gamma+\tau-a} D_a(a), \end{aligned}$$

i.e.

$$D_\alpha D_\beta = \sum_{\gamma_1=\max\{\alpha_1, \beta_1\}}^{\alpha_1+\beta_1} \cdots \sum_{\gamma_n=\max\{\alpha_n, \beta_n\}}^{\alpha_n+\beta_n} \binom{\gamma}{\alpha} \binom{\alpha}{\alpha+\beta-\gamma} D_\gamma. \quad \square$$

Under certain conditions the coactions of  $H_m$  on a  $K$ -algebra  $A$  can be expressed in terms of derivations  $D_1, \dots, D_n \in \text{Der}(A, A)$ . Precisely, we have:

**THEOREM 3.3.** – *Let*

$$H_m = K[X_1, \dots, X_n]/(X_1^{p^{s_1}}, \dots, X_n^{p^{s_n}}), \quad n \geq 1$$

be the Hopf algebra of the multiplicative group with  $s_1 = s_2 = \dots = s_n = 1$  and let  $A$  be a commutative  $K$ -algebra.

Then the coactions of  $H_m$  on  $A$  are given by derivations  $D_1, \dots, D_n \in \text{Der}(A, A)$  such that

$$D_i D_j = D_j D_i, \quad D_i^p = D_i, \quad 1 \leq i, j \leq n,$$

and

$$D_\alpha = \frac{\prod_{j_1=0}^{\alpha_1-1} (D_1 - j_1) \prod_{j_2=0}^{\alpha_2-1} (D_2 - j_2) \cdots \prod_{j_n=0}^{\alpha_n-1} (D_n - j_n)}{\alpha_1! \alpha_2! \cdots \alpha_n!} = \frac{\prod_{t=1}^n \left( \prod_{j_t=0}^{\alpha_t-1} (D_t - j_t) \right)}{a!},$$

$a = (a_1, \dots, a_n)$ ,  $0 \leq a_i < p$ ,  $1 \leq i \leq n$  and  $a! = \alpha_1! \alpha_2! \cdots \alpha_n!$ .

**PROOF.** – From Proposition 3.2, we have that

$$(3.4) \quad D_\alpha D_\beta = \sum_{\gamma_1=\max\{\alpha_1, \beta_1\}}^{\alpha_1+\beta_1} \cdots \sum_{\gamma_n=\max\{\alpha_n, \beta_n\}}^{\alpha_n+\beta_n} \binom{\gamma}{\alpha} \binom{\alpha}{\alpha+\beta-\gamma} D_\gamma,$$

where  $\binom{\gamma}{\alpha} = \binom{\gamma_1}{\alpha_1} \binom{\gamma_2}{\alpha_2} \cdots \binom{\gamma_n}{\alpha_n}$ , for  $a = (a_1, \dots, a_n)$ ,  $\gamma = (\gamma_1, \dots, \gamma_n) \in \mathbb{A}$ .

We claim that

$$(3.5) \quad (D_i - a_i)D_\alpha = (a_i + 1)D_{\alpha+\delta_i},$$

where  $D_i = D_{\delta_i} = D_{(0, \dots, 1, \dots, 0)}$  with 1 in the  $i$ -th position, for  $i = 1, \dots, n$ .

In fact

$$\begin{aligned} D_i D_\alpha &= \sum_{\gamma_1=\alpha_1}^{\alpha_1} \cdots \sum_{\gamma_{i-1}=\alpha_{i-1}}^{\alpha_{i-1}} \sum_{\gamma_i=\alpha_i}^{\alpha_i+1} \sum_{\gamma_{i+1}=\alpha_{i+1}}^{\alpha_{i+1}} \cdots \sum_{\gamma_n=\alpha_n}^{\alpha_n} \binom{\gamma}{\delta_i} \binom{\delta_i}{\delta_i+\alpha-\gamma} D_\gamma \\ &= \alpha_i D_\alpha + (\alpha_i + 1) D_{\alpha+\delta_i} \end{aligned}$$

and equality (3.5) follows.

Now we proceed by induction on  $|a|$ .

Let  $|a| = 1$ .

We have to prove that

$$(3.6) \quad i!D_i = \prod_{j_i=0}^{i-1} (D_i - j_i).$$

In this case  $i! = 1$ ,  $i = 1$  and so (3.6) is true.

Now let  $|a| > 1$  and suppose

$$a_1!a_2! \cdots a_n!D_a = \prod_{j_1=0}^{a_1-1} (D_1 - j_1) \cdots \prod_{j_n=0}^{a_n-1} (D_n - j_n) = \prod_{t=1}^n \left( \prod_{j_t=0}^{a_t-1} (D_t - j_t) \right).$$

We want to prove that

$$\begin{aligned} & a_1!a_2! \cdots a_{i-1}!(a_i + 1)!a_{i+1}! \cdots a_n!D_{a+\delta_i} \\ &= \prod_{j_1=0}^{a_1-1} (D_1 - j_1) \cdots \prod_{j_{i-1}=0}^{a_{i-1}-1} (D_{j-1} - j_{i-1}) \prod_{j_i=0}^{a_i} (D_i - j_i) \prod_{j_{i+1}=0}^{a_{i+1}-1} (D_{j+1} - j_{i+1}) \cdots \prod_{j_n=0}^{a_n-1} (D_n - j_n) \\ &= (D_i - a_i) \prod_{t=1}^n \left( \prod_{j_t=0}^{a_t-1} (D_t - j_t) \right). \end{aligned}$$

By the inductive hypotheses, and from (3.5),

$$\begin{aligned} a_1!a_2! \cdots a_{i-1}!(a_i + 1)!a_{i+1}! \cdots a_n!D_{a+\delta_i} &= a_1! \cdots a_n!(a_i + 1)D_{a+\delta_i} \\ &= a_1! \cdots a_n!(D_i - a_i)D_a \\ &= (D_i - a_i)a_1! \cdots a_n!D_a \\ &= (D_i - a_i) \prod_{t=1}^n \left( \prod_{j_t=0}^{a_t-1} (D_t - j_t) \right). \end{aligned}$$

Finally, we obtain

$$a!D_a = \prod_{j_1=0}^{a_1-1} (D_1 - j_1) \cdots \prod_{j_n=0}^{a_n-1} (D_n - j_n),$$

where  $a! = a_1!a_2! \cdots a_n!$ , for  $(a_1, a_2, \dots, a_n) \in \mathbb{N}^n$ .

In characteristic  $p$

$$D_a = \frac{\prod_{j_1=0}^{a_1-1} (D_1 - j_1)}{a_1!} \frac{\prod_{j_2=0}^{a_2-1} (D_2 - j_2)}{a_2!} \cdots \frac{\prod_{j_n=0}^{a_n-1} (D_n - j_n)}{a_n!},$$

$a = (a_1, \dots, a_n)$ ,  $0 \leq a_i < p$ ,  $1 \leq i \leq n$ .

Moreover it is easy to verify that the derivations  $D_i$  satisfy the following conditions:

$$[D_i, D_j] = 0, D_i^p = D_i, 1 \leq i, j \leq n. \quad \square$$

REMARK 3.4. – The relations stated in Theorem 3.3 are known for  $n = 1$  and  $H_m = H_{s_1}(F_m)$ , where  $H_{s_1} = k[X]/(X^{p^{s_1}})$  and  $F_m = X + Y + XY$  is the multiplicative formal group ([1], [9]),  $s_1 \geq 1$ .

**4. – Some applications.**

In this section we study the extension of rings  $A^{\text{co}H} \subset A$ , by using techniques and results of ([2], [7], [10]).

Let  $(A, \delta)$  be a right  $H$ -comodule algebra and let  $R = A^{\text{co}H}$ .

Recall that the extension  $R \subset A$  is called an  $H$ -Galois extension or  $A$  is  $H$ -Galois if the Galois map

$$\beta : A \otimes_R A \rightarrow A \otimes H, a \otimes b \mapsto a\delta(b),$$

is bijective.

The following result, due to H.-J. Schneider ([10]), gives a characterization of an  $H$ -Galois extension with the property of being faithfully flat. Recall that an  $H$ -Galois extension  $A \subset B$  is faithfully flat if  $A$  is faithfully flat over  $B$  as a left (or equivalently right) module over  $B$ .

THEOREM 4.1. – *Let  $H$  be an Hopf algebra with a bijective antipode and  $A$  a right  $H$ -comodule algebra with  $B = A^{\text{co}H}$ . Then the following are equivalent:*

- 1) a)  $A \subset B$  is a right  $H$ -Galois extension and  
     b)  $A$  is faithfully flat left (or right  $B$ -module);
- 2) a) the Galois map  $\beta$  is surjective and  
     b)  $A$  is an injective  $H$ -comodule.

PROPOSITION 4.2. – *Let  $H_m$  be the Hopf algebra of the multiplicative group and  $A$  a right  $H$ -comodule algebra with structure map  $\delta : A \rightarrow A \otimes H_m$ . Define the derivations  $D_1, \dots, D_n$  by (2.2) and let  $R = A^{\text{co}H_m}$ .*

*Assume  $s_1 = s_2 = \dots = s_n = 1$  and  $A$  is a commutative local algebra with maximal ideal  $m_A$  and  $R + m_A = A$ .*

*Then the following are equivalent:*

- (1)  $R \subset A$  is a faithfully flat  $H_m$ -Galois extension.
- (2) There are  $y_1, \dots, y_n \in A$  with  $\delta(y_i) = y_i \otimes 1 + 1 \otimes x_i + y_i \otimes x_i$ , for all  $1 \leq i \leq n$ .

(3) *There exist  $y_1, \dots, y_n \in m_A$  such that*

$$D_i(y_j) = (1 + y_j)\delta_{ij},$$

*for  $i, j = 1, \dots, n$ .*

PROOF. – (1)  $\Rightarrow$  (2): By (1),  $A$  is an injective  $H_m$ -comodule (Theorem 4.1). Hence the right  $H_m$ -colinear map  $k \rightarrow A, 1 \rightarrow 1$  can be extended to an  $H_m$ -colinear map

$$\gamma : H_m \rightarrow A.$$

Then for all  $i$ , since  $\gamma$  is  $H_m$ -colinear and  $\gamma(1) = 1$

$$\begin{aligned} \delta(\gamma(x_i)) &= (\gamma \otimes id)A(x_i) \\ &= (\gamma \otimes id)(x_i \otimes 1 + 1 \otimes x_i + x_i \otimes x_i) = \gamma(x_i) \otimes 1 + 1 \otimes x_i + \gamma(x_i) \otimes x_i. \end{aligned}$$

Hence the assert follows by putting

$$y_i := \gamma(x_i),$$

for all  $1 \leq i \leq n$ .

(2)  $\Rightarrow$  (3): Since  $A = R + m_A$ , for every  $j = 1, \dots, n, y_j = z_j + \tilde{y}_j, z_j \in R$  and  $\tilde{y}_j \in m_A$ .

Hence  $D_i(y_j) = D_i(\tilde{y}_j)$  and we can assume  $y_1, \dots, y_n \in m_A$ .

By hypothesis (2) and from Theorem 3.3, we obtain  $D_i(y_j) = (1 + y_j)\delta_{ij}, 1 \leq i, j \leq n$ .

(3)  $\Rightarrow$  (1): It is easy to verify that  $\det(D_i(y_j))_{1 \leq i, j \leq n}$  is invertible and so  $R \subset A$  is an  $H_m$ -Galois extension ([7], Theorem 4.1).

On the other hand, from ([7], Theorem 4.3),  $y^\alpha = y_1^{\alpha_1} \dots y_n^{\alpha_n}$  is an  $R$ -basis of  $A$ , hence  $A$  is a free  $R$ -module and consequently a faithfully flat  $R$ -module.  $\square$

Now we consider the next application.

Let  $A$  be a commutative ring of characteristic  $p > 0$  and  $A^p$  denotes the subring  $\{x^p : x \in A\}$  of  $A$ . Let  $B$  be a subring of  $A$ . A subset  $\Gamma$  of  $A$  is said to be  $p$ -independent over  $B$ , if the monomials  $x_1^{e_1} \dots x_n^{e_n}$ , where  $x_1, \dots, x_n$  are distinct elements of  $\Gamma$  and  $0 \leq e_i < p$ , are linearly independent over  $A^p[B]$ .  $\Gamma$  is called a  $p$ -basis of  $A$  over  $B$  if it is  $p$ -independent over  $B$  and  $A^p[B, \Gamma] = A$ .

Then, if  $B$  is a subring of a ring  $A$ , a subset  $x_1, \dots, x_n$  of  $A$  is a  $p$ -basis of  $A$  over  $A^p[B]$  if and only if  $A$  is a free  $A^p[B]$ -module with basis  $x_1^{e_1} \dots x_n^{e_n}, 0 \leq e_i < p$ .

For more details on  $p$ -bases see [3].

We state the following:

PROPOSITION 4.3. – *Let  $(A, m)$  be a commutative local algebra containing a field  $K$  of characteristic  $p > 0$  and of dimension  $d > 0$ . Let  $H$  be an Hopf algebra*

over  $K$  satisfying (2.1) with  $s_1 = s_2 = \dots = s_n = 1$ , and  $\delta$  a coaction of  $H$  on  $A$  with derivations  $D_1, \dots, D_n$  defined by (2.2).

Suppose

- i) there exist  $y_1, \dots, y_n \in \mathfrak{m}$  such that the matrix  $(D_i(y_j))_{1 \leq i, j \leq n}$  is invertible;
- ii)  $A \otimes K^{p-1}$  is reduced;
- iii)  $A/\mathfrak{m}$  is a separable extension of  $K$ .

If  $A$  has a finite  $p$ -basis over  $k$ , then  $A^{\text{co}H}$  has a finite  $p$ -basis over  $K$ .

PROOF. – From ([7], Lemma 3.4), there exist  $\partial_1, \dots, \partial_n \in \text{Der}(A, A)$  such that

$$[\partial_i, \partial_j] = 0, \quad \partial_i^p = 0, \quad \partial_i(y_j) = \delta_{ij}, \quad 1 \leq i, j \leq n,$$

and  $R = A^{\text{co}H} = A^{D_1, \dots, D_n} = A^{\partial_1, \dots, \partial_n}$ .

Since  $A$  has a  $p$ -basis over  $K$ ,  $A$  is a regular local ring of dimension  $d$  ([11], Lemma 1) and  $R$  is a regular local ring of dimension  $d$  ([7], Theorem 5.1).

Moreover  $A$  is a noetherian ring that is a finite  $K[A^p]$ -module. Hence the subring  $K[A^p] \subset A$  is noetherian too and consequently  $R$  is a finite  $K[A^p]$ -module.

On the other hand  $A$  has a finite  $p$ -basis over  $R$  ([7], Lemma 3.4),  $A$  is a finite  $R$ -module,  $K[A^p]$  is a finite  $K[R^p]$ -module and  $R$  is a finite  $K[R^p]$ -module.

It follows that, in order to prove the statement, it is sufficient to show that the universal module of differentials  $\Omega_K(R)$  is a free  $R$ -module ([3], § 38. Proposition).

We obtain the assert by following the arguments in ([2], Theorem 2). □

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