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## On boundary conditions and fixed points for $\alpha$ -nonexpansive multivalued mappings

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**Analisi funzionale.** — *On boundary conditions and fixed points for  $\alpha$ -nonexpansive multivalued mappings*<sup>(\*)</sup>. Nota di ESPEDITO DE PASCALE e RENATO GUZZARDI, presentata<sup>(\*\*)</sup> dal Socio G. SANSONE.

RIASSUNTO. — Si dimostra un teorema di punto fisso per mappe multivoche  $\alpha$ -non-espansive con condizioni sulla frontiera che generalizzano la ben nota condizione al contorno di Leray-Schauder.

### I. INTRODUCTION

The main purpose of this paper is to prove that a  $\alpha$ -nonexpansive upper-semicontinuous multivalued map  $f: B \rightarrow X$ , where  $X$  is a Banach space and  $B = B(o, r) = \{x \in X : \|x\| \leq r\}$ , has a fixed point if the following three conditions hold:

- i)  $f(x)$  is convex for every  $x \in B$ .
- ii) if  $\lambda x \in f(x)$  for some  $x \in \partial B$ , then there exists  $\beta \leq 1$  such that  $\beta x \in f(x)$  (condition G).
- iii)  $(I - f)(B)$  is closed.

We shall employ the following three main theorems.

**THEOREM A** (L. Vietoris [1]). *Let  $f: X \rightarrow Y$  be a continuous map such that  $f(X) = Y$  and  $f^{-1}(y)$  is acyclic for every  $y \in Y$ . If  $X$  and  $Y$  are compact metric spaces then  $f_*: H_*(X) \rightarrow H_*(Y)$  is an isomorphism.*

We remark that Theorem A can be formulated in a more general setting. However the statement we have adopted is sufficient for our purposes.

**THEOREM B** (J. Dugundji [2]). *Any convex subset of a locally convex metrizable linear space is an absolute retract.*

**THEOREM C** (S. Eilenberg and D. Montgomery [3]). *Let  $X$  be a compact, acyclic absolute neighborhood retract and  $f: X \rightarrow X$  an uppersemicontinuous multivalued map. Assume that  $f(x)$  is acyclic for every  $x \in X$ . Then  $f$  has a fixed point.*

As particular cases of our theorem we obtain several well known fixed point theorems for multivalued and singlevalued maps.

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## 2. NOTATIONS AND DEFINITIONS

2.1 *Multivalued maps.*

We recall that a multivalued map  $f$  of a set  $X$  into a set  $Y$  is a triple  $(G, X, Y)$ , where  $G$ , the graph of  $f$ , is a subset of  $X \times Y$  such that  $f(x) = \{y \in Y : (x, y) \in G\}$  is nonempty for each  $x \in X$ .  $f(X) = \cup \{f(x) : x \in X\}$  is the range of  $f$ , while  $X$  is its domain.

We shall use the symbol  $f: X \multimap Y$  to indicate a multivalued map and  $f: X \rightarrow Y$  for the single-valued maps. If  $A \subset X$  and  $B \subset Y$  then  $f(A) = \cup \{f(x) : x \in A\}$  while  $f^+(B) = \{x \in X : f(x) \subset B\}$  and  $f^-(B) = \{x \in X : f(x) \cap B \neq \emptyset\}$ . If  $f: X \rightarrow Y$  we have  $f^+(B) = f^-(B) = f^{-1}(B)$ .

Let  $X$  and  $Y$  be topological spaces and  $f: X \multimap Y$ . We say that  $f$  is uppersemicontinuous at  $x_0 \in X$  if for any open set  $O$  containing  $f(x_0)$  there exists a neighborhood  $U$  of  $x_0$  such that  $x \in U(x_0)$  implies  $f(x) \subset O$ . If  $f$  is uppersemicontinuous at each point  $x \in X$  and  $f(x)$  is compact for every  $x \in X$  then  $f$  is said to be uppersemicontinuous on  $X$ .

The following conditions are equivalent to uppersemicontinuity on  $X$ :

- a) For any open set  $O \subset Y$ , the set  $f^+(O)$  is open;
- b) For any closed set  $C \subset Y$ ,  $f^-(C)$  is closed.

We say that a multivalued map  $f: X \multimap Y$  is proper if for any compact set  $K$  contained in  $Y$ ,  $f^-(K)$  is compact. It is easy to see that a proper uppersemicontinuous multivalued map is closed. A fixed point of a multivalued map  $f: X \multimap X$  is a point  $x \in X$  such that  $x \in f(x)$ .

2.2 *Kuratowski measure of noncompactness.*

Let  $X$  be a metric space. For any bounded set  $A \subset X$  we define  $\alpha(A)$  (C. Kuratowski [7]) as the infimum of all  $r > 0$  such that  $A$  can be covered by a finite number of subsets with diameter less than  $r$ . Let us recall here some properties of this number, called "measure of noncompactness".

- a)  $\alpha(\overline{A}) = 0$  if and only if  $\overline{A}$  is precompact. If  $X$  is a Banach space;
- b)  $\alpha(\overline{\text{co}} A) = \alpha(A)$  where  $\overline{\text{co}} A$  indicates the closure of the convex hull of  $A$ ;
- c)  $\alpha(A + B) \leq \alpha(A) + \alpha(B)$  where  $A + B = \{x + y : x \in A \text{ and } y \in B\}$ ;
- d) For every positive real number  $t$ ,  $\alpha(tA) = t\alpha(A)$  where  $tA = \{tx : x \in A\}$ .

Let  $f: X \multimap Y$  be an uppersemicontinuous map. The map  $f$  is said to be  $\alpha$ -Lipschitz with constant  $K \geq 0$ , if for any bounded set  $A \subset X$

$$\alpha(f(A)) \leq K\alpha(A).$$

If  $K < 1$ , then  $f$  is called  $\alpha$ -contraction; if  $K = 1$ , then  $f$  is called  $\alpha$ -nonexpansive. If for any bounded subset  $A \subset X$  such that  $\alpha(A) \neq 0$ , we have  $\alpha(f(A)) < \alpha(A)$ , then  $f$  is called condensing. The class of condensing maps is wider than the class of  $\alpha$ -contractions as shown by Furi-Vignoli [4].

The map  $f$  is said to be completely continuous if it sends bounded sets into precompact sets.

### 2.3. Homology, AR and ANR spaces.

Let  $\mathcal{T}$  be the category of topological spaces,  $\mathcal{F}$  be the category of graded vector spaces over a field  $F$ . By  $H_k(X)$ , where  $X \in \mathcal{T}$ , we denote the  $k$ -th Vietoris homology vector space associated to  $X$  and by  $H_*(X)$  the graded vector space associated to  $X$ . Given a continuous map  $f: X \rightarrow Y$  we denote by  $f_*: H_*(X) \rightarrow H_*(Y)$  the induced homomorphism.

A nonempty topological space  $X$  is said to be acyclic if  $H_i(X) = 0$  for  $i \neq 0$  and  $H_0(X) \simeq F$ .

A nonempty topological space is said to be an absolute retract if for each homeomorphism  $h$  mapping  $X$  onto a closed subset of a metric space  $Y$ , the set  $h(X)$  is a retract of  $Y$ . Similarly, a space  $X$  is said to be an absolute neighborhood retract, provided that for each homeomorphism  $h$  mapping  $X$  onto a closed subset of a metric space  $Y$ ,  $h(X)$  is a neighborhood retract in  $Y$ .

### 2.4. Further notations.

In what follows, unless otherwise stated,  $X$  will stand for a Banach Space,  $B(o, r) = \{x \in X : \|x\| \leq r\}$ ,  $\overset{\circ}{B} = \{x \in X : \|x\| < r\}$ ,  $\partial B = B \setminus \overset{\circ}{B}$  and  $\Pi: X \rightarrow B(o, r)$  will be the radial retraction of  $X$  onto  $B(o, r)$ .

We shall say that a map  $f: B(o, r) \rightarrow X$  satisfies condition "P" if " $\lambda x \in f(x)$  for some  $x \in \partial B$  implies  $\lambda \leq 1$ " and we shall say that  $f$  satisfies the weaker boundary condition "G" if " $\lambda x \in f(x)$  for some  $x \in \partial B$  implies that there exists  $\beta \leq 1$  such that  $\beta x \in f(x)$ ".

In the following  $I$  denotes the identity map.

## 3. RESULTS

LEMMA 3.1 (Martelli [8]). Let  $f: B(o, r) \rightarrow X$  be a condensing map with convex values.

Then  $\Pi \circ f(x)$  is acyclic for every  $x \in B(o, r)$ .

*Proof.* Since  $f(x)$  is compact and  $\Pi$  is continuous,  $\Pi \circ f(x)$  is compact. It is easy to see that  $\Pi^{-1}(Y)$  is acyclic for every  $y \in \Pi \circ f(x)$ . Applying theorem A we obtain that

$$\Pi_*: H_*(f(x)) \rightarrow H_*(\Pi \circ f(x))$$

is an isomorphism. Since  $f(x)$  is convex,  $\Pi \circ f(x)$  is acyclic.

LEMMA 3.2 (Kuratowski [7]). *Let  $X$  a complete metric space and let  $A_1 \supset A_2 \supset \dots$  be a decreasing sequence of nonempty closed subsets of  $X$ . Assume that  $\alpha(A_n)$  converges to 0. Then  $A_\infty = \bigcap_{n \in \mathbb{N}} A_n$  is nonempty and compact.*

LEMMA 3.3. *The radial retraction is  $\alpha$ -nonexpansive.*

*Proof.* Let  $A$  be a bounded subset of  $X$ . Then  $\Pi(A) \subset \overline{\text{co}}(A \cup \{o\})$ . Since  $\alpha(\overline{\text{co}}(A \cup \{o\})) = \alpha(A)$ , it follows that  $\alpha(\Pi(A)) \leq \alpha(A)$ .

THEOREM 3.1. *Let  $f: B \rightarrow E$  be an  $\alpha$ -contraction with convex values. Let us assume that  $f$  satisfies the boundary condition "G". Then  $f$  has a fixed point.*

*Proof.* Since  $\Pi$  is  $\alpha$ -nonexpansive,  $\Pi \circ f: B \rightarrow B$  is an  $\alpha$ -contraction. Moreover by Lemma 3.1,  $\Pi(f(x))$  is acyclic for every  $x \in B$ .

We define inductively a sequence of sets:  $B_0 = B$ ,  $B_{n+1} = \overline{\text{co}} \Pi \circ f(B_n)$  for every  $n \in \mathbb{N}$ . It is easily seen that  $B_n \supset B_{n+1}$  for every  $n \in \mathbb{N}$  and  $\alpha(B_n) \rightarrow 0$  as  $n \rightarrow \infty$ .

Let us put  $B_\infty = \bigcap_{n \in \mathbb{N}} B_n$ . Then, because of Lemma 3.2, one has that  $B_\infty$  is nonempty and compact. Since  $\Pi \circ f(B_\infty) \subset B_\infty$ , because of theorem B and theorem C,  $\Pi \circ f$  has a fixed point in  $B_\infty$ . Let  $x \in B$  such that  $x \in \Pi(f(x)) = (f(x) \cap \overset{\circ}{B}) \cup \Pi(f(x) \setminus \overset{\circ}{B})$ .

If  $\|x\| < r$  then  $x \in f(x) \cap \overset{\circ}{B}$ . Thus  $x \in f(x)$  and the statement is proved. Suppose  $\|x\| = r$ . We have  $x \in \Pi(f(x) \setminus \overset{\circ}{B})$ . It follows that there exists  $\lambda \geq 1$  such that  $\lambda x \in f(x)$ . Because of condition "G" there exists  $\beta \leq 1$  such that  $\beta x \in f(x)$ . By the convexity of  $f(x)$ , we have that the segment joining  $\lambda x$  with  $\beta x$  is entirely contained in  $f(x)$ , thus  $x \in f(x)$ .

Now let us turn to the main result.

THEOREM 3.2. *Let  $f: B \rightarrow E$  be an  $\alpha$ -nonexpansive map with convex values, such that  $(I - f)(B)$  is closed. Let us assume that  $f$  satisfies condition G. Then  $f$  has fixed point.*

*Proof.* For each  $n \in \mathbb{N}$  let us consider the map  $f_n: B \rightarrow E$  defined by  $f_n(x) = \frac{n}{n+1} f(x)$ . We have:

$$\alpha(f_n(A)) = \alpha\left(\frac{n}{n+1} f(A)\right) = \frac{n}{n+1} \alpha(f(A)) \leq \frac{n}{n+1} \alpha(A) < \alpha(A).$$

This implies that  $f_n$  is a  $\alpha$ -contraction with constant  $\frac{n}{n+1}$ . Furthermore  $f_n$  satisfies condition G. In fact

$$\begin{aligned} \lambda x \in f_n(x) \quad \text{and} \quad x \in \partial B \Rightarrow \frac{(n+1)\lambda}{n} x \in f(x) \quad \text{e} \quad x \in \partial B \Rightarrow \exists \beta \leq 1 : \\ : \beta x \in f(x) \Rightarrow \frac{\beta n}{n+1} x \in f_n(x) \quad \text{with} \quad \frac{\beta n}{n+1} < 1. \end{aligned}$$

By Theorem 3.1 there exists an element  $x_n \in B$  such that  $x_n \in f_n(x_n)$ . Consequently  $x_n - \frac{n}{n+1} x_n \in (I - f)(B)$  and since  $x_n$  is bounded and  $\frac{n}{n+1} \rightarrow 1$ , as  $n \rightarrow \infty$  we have  $0 \in (I - f)(B)$  and the statement follows.

*Remark 3.1.* A careful inspection and suitable modifications of our proofs of Theorems 3.1 and 3.2 show that they hold also when  $f$  is acyclic-valued and the condition "G" is replaced by the condition " $\lambda x \in f(x)$  and  $x \in \partial B$  implies  $f(x)$  convex and there exists  $\beta \leq 1$  such that  $\beta x \in f(x)$ ".

*Remark 3.2.* Theorems 3.1 and 3.2 fail to be valid if one replaces the assumption " $f(x)$  convex for every  $x \in B$ " with the assumption " $f(x)$  acyclic for every  $x \in B$ ". This is easily seen from the following simple counterexample, where  $f(x)$  is contractible for every  $x \in B$ . Let us consider  $B(0, r)$  in  $\mathbb{R}^2$ -plane and the constant multivalued map  $f: B \rightarrow \mathbb{R}^2$  defined by  $f(x) = \Gamma$ , where  $\Gamma$  is the locus of points of  $\mathbb{R}^2$  satisfying the equations

$$\rho = r\vartheta \quad \frac{\Pi}{2} \leq \vartheta \leq 2\Pi + \frac{\Pi}{2}$$

in the standard polar coordinates. Clearly the map  $f$  is a completely continuous map, that satisfies condition "G" and does not have fixed points. But the map  $f$  fails to be convex valued.

*Remark 3.3.* A subset  $A$  of a Banach space  $X$  is said to be star-shaped if there exists  $y \in A$  such that the line segment joining  $y$  with every point of  $A$  is entirely contained in  $A$ .

We may leave open the following question: do Theorem 3.1 and 3.2 hold if the assumption " $f(x)$  convex for every  $x \in B$ " is replaced by " $f(x)$  star-shaped for every  $x \in B$ "?

It is known that if  $f$  is a single-valued condensing map then  $I - f$  is closed. We generalize and extend this result to the context of condensing multivalued maps.

**PROPOSITION 3.1.** *Let  $E$  be a closed bounded subset of a Banach space  $X$  and  $f: E \rightarrow X$  be a condensing map. Then  $I - f$  is proper.*

*Proof.* Let  $K \subset E$  be compact and set  $A = (I - f)^{-1}(K)$ . Since  $I - f$  is upper semicontinuous,  $A$  is closed in  $E$ . We also have  $A \subset K + f(A)$ .

Let us suppose that  $\alpha(A) > 0$ .

Then  $\alpha(A) \leq \alpha(K) + \alpha(f(A)) < \alpha(A)$  which is impossible.

Hence  $\alpha(A) = 0$  and  $A$  is compact.

**COROLLARY 3.1** (Martelli [8]). *Let  $f: B \rightarrow X$  be a condensing map with convex values. Let us assume that  $f$  satisfies condition P. Then  $f$  has a fixed point.*

*Proof.* Follows from Proposition 3.1.

**COROLLARY 3.2** (A. Granas [5]). *Let  $f: B(0, r) \rightarrow X$  be an uppersemicontinuous map with closed and convex values. Let us assume that  $f$  is compact and  $f(x) \subset B(0, r)$  for every  $x \in \partial B$ . Then  $f$  has a fixed point.*

Theorem 2 contains also, as a particular case, the well known result of R othe [10]. It contains also several other theorems which would be too long to mention here. As examples we will give only the following two.

COROLLARY 3.3 (M. Krasnoselskij [6]). *Let  $f: B(o, r) \rightarrow H$  be a continuous compact map, where  $H$  is a Hilbert space. If for every  $x \in \partial B$*

$$(f(x), x) \leq \|x\|^2,$$

*then  $f$  has a fixed point.*

COROLLARY 3.4 (W. V. Petryshyn [9]). *Let  $f: B(o, r) \rightarrow X$  be a condensing map which satisfies condition P. Then  $f$  has a fixed point.*

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