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CLASSE SCIENZE FISICHE MATEMATICHE NATURALI
RENDICONTI

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**Theorems of the Cartan-Thullen Type and
 θ -envelope of Holomorphy for Every Holomorphy
Type θ**

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RENDICONTI

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Classe di Scienze fisiche, matematiche e naturali

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Presiede il Presidente della Classe ANTONIO CARRELLI

SEZIONE I

(Matematica, meccanica, astronomia, geodesia e geofisica)

Matematica. — *Theorems of the Cartan-Thullen Type and θ -envelope of Holomorphy for Every Holomorphy Type θ .* Nota di LUIZA A. MORAES, presentata (*) dal Corrisp. E. VESENTINI.

RIASSUNTO. — Dopo aver enunciato alcuni teoremi di tipo Cartan-Thullen sugli aperti c -olomorficamente convessi e cb -olomorficamente convessi, si costruiscono i θ -inviluppi di olomorfia per ogni tipo di olomorfia θ .

1. THE CARTAN-THULLEN THEOREM

DEFINITION 1.1. An open set $U \subset E$ is c -holomorphically convex

$$\text{if } \hat{K}_c = \{t \in U ; |f(t)| \leq \|f\|_K \quad \forall f \in \mathcal{H}_c(U)\}$$

is U -bounded for all compact $K \subset U$. An open set $U \subset E$ is cb -holomorphically convex if $\hat{B}_{cb} = \{t \in U ; |f(t)| \leq \|f\|_B \quad \forall f \in \mathcal{H}_{cb}(U)\}$ is U -bounded for all U -bounded sets $B \subset U$.

DEFINITION 1.2. We say that $f \in \mathcal{H}_c(U)$ cannot be extended to a compactly holomorphic function in a neighbourhood of $\xi \in \bar{U}$ if it is impossible to find two connected open sets U_0, V such that: (i) $U \cap V \supset U_0 \neq \emptyset, \xi \in V \notin U$, (ii) $\exists f_1 \in \mathcal{H}_c(V)$ such that $f_1|_{U_0} = f|_{U_0}$.

(*) Nella seduta dell'8 marzo 1980.

DEFINITION 1.3. We say that $f \in \mathcal{H}_{cb}(U)$ cannot be extended to a compactly holomorphic function of U -bounded type in a neighbourhood of $\xi \in \bar{U}$ if it is impossible to find two connected open sets U_0, V such that: (i) $U \cap V \supset U_0$, $U_0 \neq \emptyset$, $\xi \in V \not\subset U$; (ii) $\exists f_1 \in \mathcal{H}_{cb}(V)$ such that $f_1|_{U_0} = f|_{U_0}$.

THEOREM 1.1. Let U be a connected open subset of the Banach space E such that each $\xi \in \partial U$ has a fundamental system of neighbourhoods $N_n(\xi)$ such that $U \cap N_n(\xi)$ is connected for each n . Then the following properties are equivalent:

- a) For each $\xi \in \partial U$ there exists $f \in \mathcal{H}_c(U)$ which cannot be extended to a compactly holomorphic function in a neighbourhood of ξ ;
- b) For each sequence $(\xi_n)_{n=1}^{\infty}$ of elements of U which converges to some point in ∂U there exists $f \in \mathcal{H}_c(U)$ such that $\sup_n |f(\xi_n)| = \infty$.

PROPOSITION 1.1. Let U be a connected open subset of the Banach space E such that it is impossible to find two open connected sets U_1, U_2 such that (1) $U_1 \cap U \supset U_2 \neq \emptyset$ and $U_1 \not\subset U$; (2) $\forall f \in \mathcal{H}_c(U)$ there exists $f_1 \in \mathcal{H}_c(U_1)$ such that $f|_{U_2} = f_1|_{U_2}$. Then, for all compact $K \subset U$, \hat{K}_c is U -bounded (iff \hat{K}_c is compact) and $d(\hat{K}_c, \partial U) = d(K, \partial U)$.

THEOREM 1.2. Let U be a connected open subset of the Banach space E such that each $\xi \in \partial U$ has a fundamental system of neighbourhoods $N_n(\xi)$ such that $U \cap N_n(\xi)$ is connected for each n . Then the following properties are equivalent:

- a) For each $\xi \in \partial U$ there exists $f \in \mathcal{H}_{cb}(U)$ which cannot be extended to a compactly holomorphic function of U -bounded type in a neighbourhood of ξ .
- b) For each sequence $(\xi_n)_{n=1}^{\infty}$ of elements of U which converges to some point in ∂U there exists $f \in \mathcal{H}_{cb}(U)$ such that $\sup_n |f(\xi_n)| = \infty$.

THEOREM 1.3. (Cartan-Thullen I). - Let E be a Banach space. Let U be a connected open subset of E such that each $\xi \in \partial U$ has a fundamental system of neighbourhoods $N_n(\xi)$ such that $U \cap N_n(\xi)$ is connected for each n . Then the following properties are equivalent:

- (1) For each $\xi \in \partial U$ there exists $f \in \mathcal{H}_{cb}(U)$ which cannot be extended to a compactly holomorphic function of U -bounded type in a neighbourhood of ξ .
- (2) It is impossible to find two open connected sets U_1, U_2 such that: (i) $U_1 \cap U \supset U_2 \neq \emptyset$ and $U_1 \not\subset U$; (ii) $\forall f \in \mathcal{H}_{cb}(U)$ there exists $f_1 \in \mathcal{H}_{cb}(U_1)$ such that $f|_{U_2} = f_1|_{U_2}$.
- (3) U is cb -holomorphically convex.
- (4) \hat{B}_{cb} is U -bounded for all U -bounded sets $B \subset U$.
- (5) For each sequence $(\xi_n)_{n=1}^{\infty}$ of elements of U which converges to some point in ∂U there exists $f \in \mathcal{H}_{cb}(U)$ such that $\sup_n |f(\xi_n)| = +\infty$.

THEOREM 1.4. Let U be a connected open subset of the Banach space E . The following properties are equivalent:

a) For each $\xi \in \partial U$ and $(\xi_n)_{n=1}^\infty \subset U$, $\xi_n \rightarrow \xi$ as $n \rightarrow \infty$ there exists $f \in \mathcal{H}_{cb}(U)$ ($f \in \mathcal{H}_c(U)$) such that the radius of normal convergence of f about ξ_n tends to zero as $n \rightarrow \infty$.

b) For each sequence $(\xi_n)_{n=1}^\infty$ of elements of U which converges to some point in ∂U there exists $f \in \mathcal{H}_{cb}(U)$ ($f \in \mathcal{H}_c(U)$) such that $\sup_n |f(\xi_n)| = +\infty$.

THEOREM 1.5. (Cartan-Thullen II). *Let E be a separable Banach space and U a connected open subset of E . The following properties are equivalent:*

(1) For each $\xi \in \partial U$ there exists $f \in \mathcal{H}_{cb}(U)$ which cannot be extended to a compactly holomorphic function of U -bounded type in a neighbourhood of ξ ;

(2) It is impossible to find two open connected sets U_1, U_2 such that:
(i) $U_1 \cap U_2 \supset U_2 \neq \emptyset$ and $U_1 \not\subset U$, (ii) $\forall f \in \mathcal{H}_{cb}(U)$ there exists $f_1 \in \mathcal{H}_{cb}(U_1)$ such that $f|_{U_2} = f_1|_{U_2}$,

(3) \hat{B}_{cb} is U -bounded for all U -bounded sets $B \subset U$;

(4) There exists $f \in \mathcal{H}_{cb}(U)$ such that it is impossible to find two open connected subsets U_1 and U_2 of E satisfying the following conditions: (i) $U \cap U_1 \supset U_2 \neq \emptyset$, $U_1 \not\subset U$. (ii) there exists $f_1 \in \mathcal{H}_{cb}(U_1)$ such that $f|_{U_2} = f_1|_{U_2}$.

2. CONSTRUCTION OF THE θ -ENVELOPE OF HOLOMORPHY FOR EVERY HOLOMORPHY TYPE

Notation: see [11] or [14].

DEFINITION 2.1. Let (X, φ) be a Riemann domain over a Banach space E . A function $f: X \rightarrow \mathbf{C}$ is holomorphic of type θ at $x \in X$ if there exists a neighbourhood V of x such that $V \sim \varphi(V)$ and a function $\psi \in \mathcal{H}_\theta(\varphi(V))$ such that $f = \psi \circ \varphi$ on V . We say that f is holomorphic of type θ on X if f is holomorphic of type θ at every $x \in X$.

Notation: $\mathcal{H}_\theta(X)$ = set of the holomorphic functions of type θ on X .

DEFINITION 2.2. Let (X, φ) and (X', φ') be Riemann domains over a Banach space E . A morphism u from (X, φ) over (X', φ') is a θ -holomorphic extension of (X, φ) if to every $f \in \mathcal{H}_\theta(X)$ we can associate one and only one $f' \in \mathcal{H}_\theta(X')$ such that $f = f' \circ u$.

DEFINITION 2.3. A θ -envelope of holomorphy of a Riemann domain (X, φ) is a Riemann domain $(\tilde{X}, \tilde{\varphi})$ and an extension $u: X \rightarrow \tilde{X}$ such that: if (X', φ') is a Riemann domain and $u': X \rightarrow X'$ is a θ -holomorphic extension of X , then there exists a θ -holomorphic extension of X' , $\tilde{u}: X' \rightarrow \tilde{X}$ such that $u = \tilde{u} \circ u'$.

THEOREM 2.1. *Let E be a Banach space and X an open connected subset of E . Then there exists a θ -envelope of holomorphy of X , unique up to an isomorphism.*

THEOREM 5.2. *Every connected Riemann domain over a Banach space E has a θ -envelope of holomorphy, unique up to isomorphism.*

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